



Notification of cessation, winding up or dissolution of a foreign company or registered Australian body

If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

Foreign company or registered body details

Foreign company or registered body name

ARBN

Lodgement details

Who should ASIC contact if there is a query about this form?

Firm/organisation

Contact name/position description

ASIC registered agent number (if applicable)

Telephone number

Postal address or DX address

1 Details of event

Tick one box and provide details required.

- | | | |
|--------------------------|--|---|
| <input type="checkbox"/> | The registered Australian body ceased to carry on business. | Effective date
<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> |
| <input type="checkbox"/> | The foreign company ceased to carry on business in Australia. | Effective date
<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> |
| <input type="checkbox"/> | The foreign company was dissolved/deregistered in its place of origin. | Effective date
<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> |
| <input type="checkbox"/> | Proceedings commenced to wind up the foreign company in its place of origin. | Effective date
<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> |
| <input type="checkbox"/> | A liquidator was appointed (complete details below). | |

Name of liquidator

Family name

Given names

Office, unit, level

Street number and Street name

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Signature

See guide for signature requirements.

I certify that the information in this form is true and complete.

Name

Capacity

Director of the foreign company or registered body

Secretary of the foreign company or registered body

Duly appointed local agent of the foreign company

Director or secretary of a company which has been duly appointed as local agent of the foreign company

Company name

ACN/ABN

Signature

Date signed

[D

D]

/

[M

M]

/

[Y

Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841.

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Guide: Notification of cessation, winding up or dissolution of a foreign company or registered Australian body

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 407.

Signature	<p>Registered Australian body This form must be signed by a director or equivalent or secretary.</p> <p>Foreign company This form must be signed by a director, secretary or local agent or a director or secretary of a company appointed as local agent.</p> <p>A local agent signing for a foreign company that is in liquidation or has been dissolved must be the local agent immediately before the commencement of the liquidation proceedings.</p>				
Lodgement period	<p>Within 7 days of ceasing to carry on business in Australia, or within 1 month of winding up or dissolution/deregistration.</p> <p>If a company in its place of origin has started winding up proceedings, two Forms 407 will ultimately be required to be lodged with ASIC. The first Form 407 is lodged at the commencement of winding up proceeding to notify of the appointment of the liquidator. The second Form 407 is lodged when the company has been dissolved.</p>				
Lodgement fee	<p>Nil if lodged within the prescribed lodging period.</p>				
Late lodgement fee	<table border="0"> <tr> <td>If lodged within 1 month after the prescribed lodging period</td> <td style="text-align: right;">\$72</td> </tr> <tr> <td>If lodged more than 1 month after the prescribed lodging period</td> <td style="text-align: right;">\$299</td> </tr> </table> <p>A receipt will not be issued unless requested. Generally, a form is not regarded as being lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the Corporations Act 2001.</p>	If lodged within 1 month after the prescribed lodging period	\$72	If lodged more than 1 month after the prescribed lodging period	\$299
If lodged within 1 month after the prescribed lodging period	\$72				
If lodged more than 1 month after the prescribed lodging period	\$299				
How to provide additional information	<p>Photocopied Form 407 pages If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement.</p> <p>Annexures</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none"> 1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides 2. show the company name and ACN or ARBN 3. number the pages consecutively 4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied 5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc. 6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title) 7. sign and date the annexure <p>The annexure must be signed by the same person(s) who signed the form.</p>				

Lodgement	<p>Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841.</p>	<p>For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p>
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