

Chart #: \_\_\_\_\_

# E/M Audit Form

Patient Name: \_\_\_\_\_ Date of service: \_\_\_\_/\_\_\_\_/\_\_\_\_ Provider: \_\_\_\_\_ MR #: \_\_\_\_\_

Place of Service: \_\_\_\_\_ Service Type: \_\_\_\_\_ Insurance Carrier: \_\_\_\_\_

Code (s) selected: \_\_\_\_\_ Code(s) audited: \_\_\_\_\_  Over  Under  Correct  Miscoded

## History

### History of Present Illness

- Location
- Quality
- Severity
- Duration
- Timing
- Context
- Modifying factors
- Associated signs and symptoms
- No. of chronic diseases

### Review of Systems

- Constitutional symptoms
- Eyes
- Ears, nose, mouth, throat
- Cardiovascular
- Respiratory
- Gastrointestinal
- Genitourinary
- Integumentary
- Musculoskeletal
- Neurological
- Psychiatric
- Endocrine
- Hematologic/lymphatic
- Allergic/immunologic

### Past, Family & Social History

#### PAST MEDICAL

- Current medication
- Prior illnesses and injuries
- Operations and hospitalizations
- Age-appropriate immunizations
- Allergies  Dietary status

#### FAMILY

- Health status or cause of death of parents, siblings, and children
- Hereditary or high risk diseases
- Diseases related to CC, HPI, ROS

#### SOCIAL

- Living arrangements
- Marital status  Sexual history
- Occupational history
- Use of drugs, alcohol, or tobacco
- Extent of education
- Current employment  Other

History \_\_\_\_\_

PF=Brief HPI

EPF=Brief HPI, ROS (Pertinent=1)

Detailed= Extended HPI (4+) + ROS=(2-9) PFSH=1

Comprehensive= Extended HPI + ROS (10 + systems) PFSH=2 Established, 3 New Patient

PFSH Form reviewed, no change  PFSH form reviewed, updated  PFSH form new

\*\*Extended HPI=Status of 3 chronic illnesses with 1997 DG. Some allow for 1995 as well.

## General Multi-System Examination

### Constitutional

- 3 of 7 (BP,pulse,respir,tmp,hgt,wgt)
- General Appearance

### Eyes

- Conjunctivae, Lids
- Eyes: Pupils, Irises
- Ophthal exam -Optic discs, Pos Seg

### ENT

- Ears, Nose
- Oto exam -Aud canals,Tymp membr
- Hearing
- Nasal mucosa, Septum, Turbinates
- ENTM: Lips, Teeth, Gums
- Oropharynx -oral mucosa,palates

### Neck

- Neck
- Thyroid

### Respiratory

- Respiratory effort
- Percussion of chest
- Palpation of chest
- Auscultation of lungs

### Cardiovascular

- Palpation of heart
- Auscultation of heart (& sounds)
- Carotid arteries
- Abdominal aorta
- Femoral arteries
- Pedal pulses
- Extrem for periph edema/varicosities

### Chest

- Inspect Breasts
- Palpation of Breasts & Axillae

### Gastrointestinal

- Abd (+/- masses or tenderness)
- Liver, Spleen
- Hernia (+/-)
- Anus, Perineum, Rectum
- Stool for occult blood

### GU/Female

- Female: Genitalia, Vagina
- Female Urethra
- Bladder
- Cervix
- Uterus
- Adnexa/parametria

### GU/Male

- Scrotal Contents
- Penis
- Digital rectal of Prostate

### Lymphatic

- Lymph: Neck
- Lymph: Axillae
- Lymph: Groin
- Lymph: Other

### Musculoskeletal

- Gait (...ability to exercise)
- Palpation Digits, Nails
- Head/Neck: Inspect, Palp
- Head/Neck: Motion (+/-pain,crepit)
- Head/Neck: Stability (+/- lux,sublux)
- Head/Neck: Muscle strength & tone
- Spine/Rib/Pelv: Inspect, Palp
- Spine/Rib/Pelv: Motion
- Spine/Rib/Pelv: Stability
- Spine/Rib/Pelv: Strength and tone
- R.Up Extrem: Inspect, Palp

- R.Up Extrem: Motion (+/- pain, crepit)
- R.Up Extrem: Stability (+/- lux, sublux)
- R.Up Extrem: Muscle strength & tone
- L.Up Extrem: Inspect, Palp
- L.Up Extrem: Motion (+/- pain, crepit)
- L.Up Extrem: Muscle strength & tone
- R.Low Extrem: Inspect, Palp
- R.Low Extrem: Motion (+/-pain, crepit)
- R.Low Extrem: Stability (+/- lux, laxity)
- R.Low Extrem: Muscle strength & tone
- L.Low Extrem: Inspect, Palp
- L.Low Extrem: Motion (+/-pain, crepit)
- L.Low Extrem: Stability (+/- lux, sublux)
- L.Low Extrem: Muscle strength & tone

### Skin

- Skin: Inspect Skin & Subcut tissues
- Skin: Palpation Skin & Subcut tissues

### Neuro

- Neuro: Cranial nerves (+/- deficits)
- Neuro: DTRs (+/- pathological reflexes)
- Neuro: Sensations

### Psychiatry

- Psych: Judgement, Insight
- Psych: Orientation time, place, person
- Psych: Recent, Remote memory
- Psych: Mood, Affect (depression, anxiety)

Exam: \_\_\_\_\_

1995-1=PF, limited 2-7=EPF, extended 2-7=Detailed, 8+ organ systems=Comprehensive  
1997-1-5=PF, 6-11=EPF, 2x6 systems=D  
2 from 9 systems=Comp.

Number of Diagnoses/Management Options	Points
Self-limited or minor (Stable, improved or worsening) → Maximum 2 points in this category.	1
Established problem (to examining MD); stable or improved	1
Established problem (to examining MD); worsening	2
New problem (to examining MD); no additional work-up planned →	3
New problem (to examining MD); additional work-up (e.g. admit/transfer)	4
Total	

**TABLE OF RISK**

Level of Risk	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected
<i>Minimal</i>	<ul style="list-style-type: none"> <li>One self-limited or minor problem, eg, cold, insect bite, tinea corporis</li> </ul>	<ul style="list-style-type: none"> <li>Laboratory tests requiring venipuncture</li> <li>Chest x-rays</li> <li>EKG/EEG</li> <li>Urinalysis</li> <li>Ultrasound, eg, echocardiography</li> <li>KOH prep</li> </ul>	<ul style="list-style-type: none"> <li>Rest</li> <li>Gargles</li> <li>Elastic bandages</li> <li>Superficial dressings</li> </ul>
<i>Low</i>	<ul style="list-style-type: none"> <li>Two or more self-limited or minor problems</li> <li>One stable chronic illness, eg, well controlled hypertension, non-insulin dependent diabetes, cataract, BPH</li> <li>Acute uncomplicated illness or injury, eg, cystitis, allergic rhinitis, simple sprain</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic tests not under stress, eg, pulmonary function tests</li> <li>Non-cardiovascular imaging studies with contrast, eg, barium enema</li> <li>Superficial needle biopsies</li> <li>Clinical laboratory tests requiring arterial puncture</li> <li>Skin biopsies</li> </ul>	<ul style="list-style-type: none"> <li>Over-the-counter drugs</li> <li>Minor surgery with no identified risk factors</li> <li>Physical therapy</li> <li>Occupational therapy</li> <li>IV fluids without additives</li> </ul>
<i>Moderate</i>	<ul style="list-style-type: none"> <li>One or more chronic illnesses with mild exacerbation, progression, or side effects of treatment</li> <li>Two or more stable chronic illnesses</li> <li>Undiagnosed new problem with uncertain prognosis, eg, lump in breast</li> <li>Acute illness with systemic symptoms, eg, pyelonephritis, pneumonia, colitis</li> <li>Acute complicated injury, eg, head injury with brief loss of consciousness</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic tests under stress, eg, cardiac stress test, fetal contraction stress test</li> <li>Diagnostic endoscopies with no identified risk factors</li> <li>Deep needle or incisional biopsy</li> <li>Cardiovascular imaging studies with contrast and no identified risk factors, eg, arteriogram, cardiac catheterization</li> <li>Obtain fluid from body cavity, eg lumbar puncture, thoracentesis, culdocentesis</li> </ul>	<ul style="list-style-type: none"> <li>Minor surgery with identified risk factors</li> <li>Elective major surgery (open, percutaneous or endoscopic) with no identified risk factors</li> <li>Prescription drug management</li> <li>Therapeutic nuclear medicine</li> <li>IV fluids with additives</li> <li>Closed treatment of fracture or dislocation without manipulation</li> </ul>
<i>High</i>	<ul style="list-style-type: none"> <li>One or more chronic illnesses with severe exacerbation, progression, or side effects of treatment</li> <li>Acute or chronic illnesses or injuries that pose a threat to life or bodily function, eg, multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, progressive severe rheumatoid arthritis, psychiatric illness with potential threat to self or others, peritonitis, acute renal failure</li> <li>An abrupt change in neurologic status, eg, seizure, TIA, weakness, sensory loss</li> </ul>	<ul style="list-style-type: none"> <li>Cardiovascular imaging studies with contrast with identified risk factors</li> <li>Cardiac electrophysiological tests</li> <li>Diagnostic Endoscopies with identified risk factors</li> <li>Discography</li> </ul>	<ul style="list-style-type: none"> <li>Elective major surgery (open, percutaneous or endoscopic) with identified risk factors</li> <li>Emergency major surgery (open, percutaneous or endoscopic)</li> <li>Parenteral controlled substances</li> <li>Drug therapy requiring intensive monitoring for toxicity</li> <li>Decision not to resuscitate or to de-escalate care because of poor prognosis</li> </ul>

Amount and/or Complexity of Data Reviewed	Points
Lab ordered and/or reviewed (regardless of # ordered)	1
X-ray ordered and/or reviewed (regardless of # ordered)	1
Medicine section (90701-99199) ordered and/or reviewed	1
Discussion of test results with performing physician	1
Decision to obtain old record and/or obtain hx from someone other than patient	1
Review and summary of old records and/or obtaining hx from someone other than patient and/or discussion with other health provider	2
Independent visualization of image, tracing, or specimen (not simply review of report)	2
Total	

Medical Decision Making	SF	LOW	MOD	HIGH
Number of Diagnoses or Treatment Options	1	2	3	4
Amount and/or Complexity of Data to be Reviewed	1	2	3	4
Risk of Complications, Morbidity, Mortality	Minimal	Low	Moderate	High
MDM Level=2 out of 3				

**MDM** \_\_\_\_\_

**Chart Note**

**Comments**

- Dictated       Handwritten
- Form           Illegible
- Note signed
- Signature missing

Other Services or Modalities:

Auditor's Signature