

New York State Comptroller
OFFICE OF UNCLAIMED FUNDS
 110 State Street, 8TH Floor
 Albany, NY 12236-0001

VERIFICATION AND CHECKLIST FOR UNCLAIMED PROPERTY

Reporting Organization:

 (name of business)

 (area or department, e.g., Corp Trust Division)

 (street address)

 (street address)

 (city, state, zip code)

 (service bureau, if used)

 (service bureau contact name)

 (service bureau contact phone)

Verification for Period Ended _____, 20____

State of Incorporation _____

Date of Incorporation _____

Are You Authorized To Do Business in NYS? _____

FEDERAL EMPL ID NO: _____

| | |
|----------------|----------------------------------|
| Contact Person | _____ |
| Contact Title | _____ |
| Contact Phone | (____)_____ |
| Contact Fax | (____)_____ |
| Address | _____ _____ _____ _____ |
| Email Address | _____ |

I certify that I am a duly authorized officer of the above named organization. To the best of my knowledge and belief this report is a true and complete statement of all abandoned property held by, or owing by, this organization as of the report period end date.

 Signature

Payment Type: Electronic

Check

Securities

Totals: Cash _____

Issues _____

Shares _____

RESERVED FOR USE OF STATE COMPTROLLER

| Amount Received | Date Received | Ack. Number | Media Type | Class | Report Sequence | Year |
|-----------------|---------------|-------------|------------|-------|-----------------|------|
| | | | | | | |
| Comments | | | | | | |

Be sure to complete the "checklist" sheet, indicating types and amounts of property being reported. Also, please verify that the property type(s) used on this form are the same as the ones used in your detailed Report of Abandoned Property. Detailed instructions for completing this form are in the Handbook for Reporters of Unclaimed Funds.

| 1. ACCOUNT BALANCES | Dormancy Period | | Cash | Shares |
|---|--------------------|-----|----------|----------|
| A. Demand Deposit Account(s) | 3 yrs | 1A. | \$ _____ | |
| B. Savings Accounts (includes Club Accounts, Security Deposits & Retirement Accounts) | 3 yrs | 1B. | \$ _____ | |
| C. Time Deposit Accounts | 3 yrs | 1C. | \$ _____ | |
| D. Money on Deposits to Security Funds (if separate from A & B) | 3 yrs | 1D. | \$ _____ | |
| E. Unidentified Deposits (if separate from A & B) & Suspense Accounts | 3 yrs | 1E. | \$ _____ | |
| F. Escrow Funds (Mortgages, Performance Guarantee, Surety Bonds, etc.) | 3 yrs | 1F. | \$ _____ | |
| G. Credit Balances Arising from Loans (includes Liquidated Mortgages, Consumer Loans, Remainder of Collateral Amounts, etc.) | 3 yrs | 1G. | \$ _____ | |
| H. Credit Balances; Consumer Credit Accounts | 3 yrs | 1H. | \$ _____ | |
| I. Credit Balances in Trading & Investment Accounts with Trusts, Brokers, Investment Firms, etc. (Including O/S checks issued to customers) | 3 yrs | 1I. | \$ _____ | |
| J. Credit Balances or Cash Due Renters of Safe Deposit Boxes | 3 yrs | 1J. | \$ _____ | |
| 2. NEGOTIABLE INSTRUMENTS | | | | |
| A. Certified Checks | 3 yrs | 2A. | \$ _____ | |
| B. Cashier, Teller Checks | 3 yrs | 2B. | \$ _____ | |
| C. Bank Money Orders | 3 yrs | 2C. | \$ _____ | |
| D. Treasurer & Registered Checks | 3 yrs | 2D. | \$ _____ | |
| E. Drafts & Bank Traveler's Checks | 3 yrs | 2E. | \$ _____ | |
| F. Warrants | 3 yrs | 2F. | \$ _____ | |
| G. Outstanding Checks Issued to Vendors (Accounts Payable) | 3 yrs | 2G. | \$ _____ | |
| H. Travelers Checks (non-bank) | 15 yrs | 2H. | \$ _____ | |
| I. Licensed Money Transmitter Receipts & Money Orders (non-bank) | 5 yrs | 2I. | \$ _____ | |
| J. State Checks | 1 yr | 2J. | \$ _____ | |
| 3. PAYING AGENTS, FIDUCIARY, MUTUAL FUNDS, AMERICAN DEPOSITORY RECEIPT ACCOUNTS AND CORPORATION DISBURSING DIRECTLY TO THEIR OWN SHAREHOLDERS | | | | |
| A. Cash Dividends (other than ADR) | 3 yrs | 3A. | \$ _____ | |
| B. Bond Interest (other than ADR) | 3 yrs | 3B. | \$ _____ | |
| C. Stock Dividends (other than ADR) | 3 yrs | 3C. | \$ _____ | 3P _____ |
| D. Distributions from Ownership of Interest (other than ADR), includes \ Redemption Values, Warrants, Underlying & Unexchanged Shares & Accrued Dividends | 3 yrs | 3D. | \$ _____ | 3Q _____ |
| E. Cash Dividends (ADR) | 3 yrs | 3E. | \$ _____ | |
| F. Stock Dividends (ADR) | 3 yrs | 3F. | \$ _____ | 3R _____ |
| G. Other Distributions Resulting From Ownership of Interest (ADR) | 3 yrs | 3G. | \$ _____ | 3S _____ |
| H. Bond Redemption | 3 yrs | 3H. | \$ _____ | 3T _____ |
| I. Mutual Fund & Dividend Reinvestment) | 3 yrs | 3I. | \$ _____ | 3U _____ |
| 4. INVESTMENTS, TRADING (BROKER/DEALER) AND BANK TRUST DEPARTMENT ACCOUNTS REPRESENTING DISTRIBUTIONS RECEIVED FOR KNOWN AND UNKNOWN OWNERS | | | | |
| A. Cash Over Receipts (Dividends & Other) | 3 yrs | 4A. | \$ _____ | |
| B. Bond Interest Over Receipts | 3 yrs | 4B. | \$ _____ | |
| C. Stock Over Receipts (Dividends & Other) | 3 yrs | 4C. | \$ _____ | 4P _____ |
| D. Other Over Receipts | 3 yrs | 4D. | \$ _____ | 4Q _____ |
| E. Unidentified Overages | 3 yrs | 4E. | \$ _____ | 4R _____ |
| F. Other Distributions Resulting from Ownership Interest or Debt Obligation | 3 yrs | 4F. | \$ _____ | 4S _____ |
| 5. REFUNDS | | | | |
| A. Utility Service Deposit | 2 yrs | 5A. | \$ _____ | |
| B. Advance Payments for Utility Services Not Furnished | 2 yrs | 5B. | \$ _____ | |
| C. Refunds Due on Overcharges by Utility Companies | 2 yrs | 5C. | \$ _____ | |
| D. Refunds Due by Insurance Companies | 3 yrs | 5D. | \$ _____ | |
| E. Amounts Due for Undelivered Goods and/or Services | 3 yrs | 5E. | \$ _____ | |
| F. Rebates | 3 yrs | 5F. | \$ _____ | |
| 6. INSURANCE PROCEEDS | | | | |
| A. Limiting Age (Superannuated) Contracts | N/A | 6A. | \$ _____ | |
| B. Matured Endowments | 3 yrs | 6B. | \$ _____ | |
| C. Death Claims | 3 yrs | 6C. | \$ _____ | |
| D. Amounts Due Under Policies of Insurance Other than Life | 3 yrs | 6D. | \$ _____ | |
| E. Refunds & Other Amounts Due Under Policy Terms | 3 yrs | 6E. | \$ _____ | |
| F. Annuities | 3 yrs | 6F. | \$ _____ | |
| G. Retained Asset Accounts, Benefits Access Accounts or similar distribution Accounts | 3 yrs | 6G. | \$ _____ | |
| 7. FUNDS OWING BY COURTS AND GOVERNMENTAL UNITS | | | | |
| A. Trust Funds | 3 yrs | 7A. | \$ _____ | |
| B. Bail Funds | 3 yrs | 7B. | \$ _____ | |
| C. Funds for Support of Spouse or Child | 3 yrs | 7C. | \$ _____ | |
| D. Condemnation Awards | 3 yrs | 7D. | \$ _____ | |
| X. Other | 3 yrs | 7X. | \$ _____ | |
| 8. OTHER AMOUNTS AND SECURITIES | | | | |
| A. Wages, Payroll, Salaries, Commissions, Pension Payments | 3 yrs | 8A. | \$ _____ | |
| B. Unredeemed Gift Certificates | 5 yrs | 8B. | \$ _____ | |
| C. Amounts Owed by Sales & Insurance Finance Companies | 3 yrs | 8C. | \$ _____ | |
| D. Surplus from Sale of Pledged Property | 1 yr | 8D. | \$ _____ | |
| E. Lost Property | 3 yrs | 8E. | \$ _____ | |
| F. Securities Long in Customers' Trading, Investment, Trust Accounts | 3 yrs | 8F. | \$ _____ | 8P _____ |
| G. Securities Held As Transfer Agent | 3 yrs | 8G. | \$ _____ | 8Q _____ |
| H. Securities Held in a Vault or Storage Area of a Bank | 3 yrs | 8H. | \$ _____ | 8R _____ |
| I. Securities Lost & held by a Safe Deposit Company or Bank | 3 yrs | 8I. | \$ _____ | 8S _____ |
| J. Securities Found in a Safe Deposit Box | 3 yrs | 8J. | \$ _____ | 8T _____ |
| K. Other Securities Owed | 3 yrs | 8K. | \$ _____ | 8U _____ |
| X. Late Filing Interest | | 8X. | \$ _____ | |
| Y. Other Miscellaneous Property | 3 yrs | 8Y. | \$ _____ | 8Z _____ |