

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
Charlie Daniels, Secretary of State
State Capitol, Room 026
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered _____
(Note: Filing covers the previous calendar year)

Is this an amendment? Yes No

For assistance in completing
this form contact:
Arkansas Ethics Commission
Post Office Box 1917
Little Rock, AR 72203
Phone (501) 324-9600
Toll Free (800) 422-7773

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

SECTION 1- NAME AND ADDRESS

Name _____
(Last) (First) (Middle)

Address _____
(Street or P.O. Box Number) (City) (State) (Zip Code)

Phone _____

Spouse's name _____
(Last) (First) (Middle)

All names under which you and/or your spouse do business: _____

SECTION 2- REASON FOR FILING

Public Official _____
(office held)

Candidate _____
(office sought)

District Judge _____
(name of municipality)

City Attorney _____
(name of city)

State Government: Agency Head/Department Director/Division Director _____
(name of agency/department/division)

Chief of Staff or Chief Deputy _____
(name of Constitutional Officer, Senate, or House of Representatives)

Public appointee to State Board or Commission _____
(name of board/commission)

School Board member _____
(name of school district)

Candidate for school board _____
(name of school district)

Public or Charter School Superintendent _____
(name of school district/school)

Executive Director of Education Service Cooperative _____
(name of cooperative)

Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):

Planning board or commission _____

Airport board or commission _____

Water or Sewer board or commission _____

Utility board or commission _____

The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A. § 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box: More than \$1,000 More than \$12,500

_____ (name of employer or source of income)

_____ (address)

_____ (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

b) Check appropriate box: More than \$1,000 More than \$12,500

_____ (name of employer or source of income)

_____ (address)

_____ (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

c) Check appropriate box: More than \$1,000 More than \$12,500

_____ (name of employer or source of income)

_____ (address)

_____ (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

d) Check appropriate box: More than \$1,000 More than \$12,500

_____ (name of employer or source of income)

_____ (address)

_____ (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

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SECTION 8- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term “gift” is defined as “any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor.” There are a number of exceptions to the definition of “gift.” Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
b)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
c)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
d)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
e)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
f)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____
g)	_____	_____
	(date)	(fair market value)
	_____	_____
	(description of gift)	_____
	_____	_____
	(source of gift)	_____

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SECTION 9- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) _____
 _____ (description of award)

 _____ (date) _____ (fair market value)

 _____ (source of award)

b) _____
 _____ (description of award)

 _____ (date) _____ (fair market value)

 _____ (source of award)

c) _____
 _____ (description of award)

 _____ (date) _____ (fair market value)

 _____ (source of award)

d) _____
 _____ (description of award)

 _____ (date) _____ (fair market value)

 _____ (source of award)

SECTION 10- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) _____
 _____ (name of person or organization paying expense)

 _____ (business address)
 _____ \$ _____
 _____ (date of expense) _____ (amount of expense)

 _____ (nature of expenditure)

b) _____
 _____ (name of person or organization paying expense)

 _____ (address)
 _____ \$ _____
 _____ (date of expense) _____ (amount of expense)

 _____ (nature of expenditure)

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SECTION 13- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

Signature

STATE OF ARKANSAS

} ss

COUNTY OF _____

Subscribed and sworn before me this _____ day of _____, 20_____.

(Legible Notary Seal)

Notary Public

My commission expires: _____

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

State or district candidates/public servants file with the Secretary of State.
County, township, and school district candidates/public servants file with the county clerk.
Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.
Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

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