

# PERSONAL FINANCIAL STATEMENT

SUSQUEHANNA BANCSHARES, INC.



- If you are applying for individual credit in your own name and are relying on your own income or assets and not the income or assets of another person as the basis for repayment of the credit requested, complete only Sections 1 and 3.
- If you are applying for joint credit with another person, complete all Sections providing information in Section 2 about the joint application.
- If you are applying for individual credit, but are relying on income from alimony, child support, or separate maintenance or on the income or assets of another person as a basis for repayment of the credit requested, complete all Sections, providing information in Section 2 about the person whose alimony, support, or maintenance payments or income or assets you are relying.
- If this statement relates to your guaranty of the indebtedness of other person(s), firm(s) or corporation(s), complete Sections 1 and 3.

## SECTION 1 – INDIVIDUAL INFORMATION (Type or Print)

## SECTION 2 – OTHER PARTY INFORMATION (Type or Print)

Name		Name	
Residence Address		Residence Address	
City, State & Zip		City, State & Zip	
Position or Occupation		Position or Occupation	
Business Name		Business Name	
Business Address		Business Address	
City, State & Zip		City, State & Zip	
Home Phone No.	Business Phone No.	Home Phone No.	Business Phone No.

## SECTION 3 – STATEMENT OF FINANCIAL CONDITION AS OF \_\_\_\_\_, 20\_\_\_\_

ASSETS (Excluding Assets of doubtful value)	Individual (In Dollars)	Joint (In Dollars)	If Joint, with whom	LIABILITIES	Individual (In Dollars)	Joint (In Dollars)	If Joint, with whom
Cash on Hand & in Banks	\$	\$		Notes Payable to Banks – Secured	\$	\$	
Marketable Securities – Sch A	\$	\$		Notes Payable to Banks – Unsecured	\$	\$	
Non-Marketable Securities – Sch B	\$	\$		Due to Brokers	\$	\$	
Broker Held Securities - Margin Accts	\$	\$		Items Payable to Others – Secured	\$	\$	
Restricted or Control Stocks	\$	\$		Items Payable to Others – Unsecured	\$	\$	
Interest in Real Estate Equities – Sch C	\$	\$		Accounts and Bills Due	\$	\$	
Real Estate Owned – Sch D	\$	\$		Unpaid Income Tax	\$	\$	
Loans Receivable	\$	\$		Other Unpaid Taxes and Interest	\$	\$	
Automobiles/Other Personal Property	\$	\$		Real Estate Mortgages – Sch D	\$	\$	
Cash Value Life Insurance – Sch E	\$	\$		Other Debts – Itemize:	\$	\$	
Other Assets – Itemize:	\$	\$			\$	\$	
Retirement Accounts	\$	\$			\$	\$	
	\$	\$			\$	\$	
	\$	\$		<b>TOTAL LIABILITIES</b>	\$	\$	
	\$	\$		<b>NET WORTH</b>	\$	\$	
<b>TOTAL ASSETS</b>	\$	\$		<b>TOTAL LIABILITIES AND NET WORTH</b>	\$	\$	

SOURCES OF INCOME FOR YEAR ENDED _____, 20____	PERSONAL INFORMATION
Salary, Bonuses, & Commissions	Do you have a will? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, name of executor:
Dividends	
Real Estate Income	Are you a partner or officer in any other venture? If so, describe:
Other Income (Alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation)	
<b>TOTAL</b>	Are you obligated to pay alimony, child support or separate maintenance payments? If so, describe:
	Are any assets pledged other than as described on schedules? If so, describe:
<b>CONTINGENT LIABILITIES</b>	Income tax settled through (date):
Do you have any contingent liabilities? If so, describe:	Are you a defendant in any suits or legal actions?
As Endorser, Co-Maker, or Guarantor?	
On Leases or Contracts?	Personal bank accounts carried at:
Legal Claims	
Other Special Debt	
Amount of Contested Income Tax Liens	Have you ever been declared bankrupt? If so, describe:

**(COMPLETE SCHEDULES AND SIGN ON NEXT PAGE)**

(USE ADDITIONAL SCHEDULES IF NECESSARY)

**SCHEDULE A – U.S. GOVERNMENTS & MARKETABLE SECURITIES**

Number of Shares or Face Value (Bonds)	Description	In Name of	Are These Pledged?	Market Value
				\$
				\$
				\$
				\$

**SCHEDULE B – NON-MARKETABLE SECURITIES**

Number of Shares	Description	In Name of	Are These Pledged?	Source of Value	Value
					\$
					\$
					\$
					\$

**SCHEDULE C – PARTIAL INTERESTS IN REAL ESTATE EQUITIES**

Address & Type of Property	Title in Name of	% of Ownership	Date Acquired	Cost	Market Value	Mortgage Maturity	Mortgage Amount
		%		\$	\$		\$
		%		\$	\$		\$
		%		\$	\$		\$
		%		\$	\$		\$

**SCHEDULE D – REAL ESTATE OWNED**

Address & Type of Property	Title in Name of	Date Acquired	Cost	Market Value	Mortgage Maturity	Mortgage Amount	Monthly Payment
			\$	\$		\$	\$
			\$	\$		\$	\$
			\$	\$		\$	\$
			\$	\$		\$	\$

**SCHEDULE E – LIFE INSURANCE CARRIED, INCLUDING N.S.L.I. AND GROUP INSURANCE**

Name of Insurance Company	Owner of Policy	Beneficiary	Face Amount	Policy Loans	Cash Surrender Value
			\$		\$
			\$		\$
			\$		\$
			\$		\$

**SCHEDULE F – BANKS OR FINANCE COMPANIES WHERE CREDIT HAD BEEN OBTAINED**

Name & Address of Lender	Credit in the Name of	Secured or Unsecured?	Original Date	High Credit	Current Balance
				\$	\$
				\$	\$
				\$	\$
				\$	\$

**Please submit this completed form to your Susquehanna lending representative.**

The information contained in this statement is provided for the purpose of obtaining, or maintaining credit with you on behalf of the undersigned, or persons, firms or corporations in whose behalf the undersigned may either severally or jointly with others, execute a guaranty in your favor. Each undersigned understands that you are relying on the information provided herein (including the designation made as to ownership of property) in deciding to grant or continue credit. Each undersigned represents and warrants that the information provided is true and complete and that you may consider this statement as continuing to be true and correct until a written notice of a change is given to you by the undersigned. I shall annually supply an updated financial statement. The personal financial statement and any other financial or other information that I give you shall be your property. You are authorized to make all inquiries you deem necessary to verify the accuracy of the statements made herein, and to determine my/our credit-worthiness. You are authorized to answer questions about your credit experience with me/us.

Signature (Individual) \_\_\_\_\_

SS. No. \_\_\_\_\_ Date of Birth \_\_\_\_\_

Signature (Other Party) \_\_\_\_\_

Date Signed \_\_\_\_\_, 20\_\_\_\_

SS. No. \_\_\_\_\_ Date of Birth \_\_\_\_\_