# APPLICATION FOR NONRESIDENT SELLER'S PERMIT, NONRESIDENT BREWER'S PERMIT AND NONRESIDENT MANUFACTURER'S LICENSE 



Page 1 of 4

Trade Name:

## PARTNERSHIPS/CORPORATIONS

1A. Indicate type of ownership and complete the information below:
$\square$ CorporationLimited Liability CompanyPartnership$\square$ Limited PartnershipLimited Liability Partnership
B. Federal Employer's I.D. No.: $\qquad$
$\qquad$
C. Entity Name: $\qquad$ Date Approved: $\qquad$ State: $\qquad$
E. Number and class of shares, memberships or units issued:

## 2. COMPLETE THE FOLLOWING PER INSTRUCTIONS:

| Social Security Number | Issuing State and Driver's License Number | Date of Birth (mm/dd/yyyy) |  | Class \& No. Shares Held or \% Memberships or \% Interest |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Full Legal Name (Last, Fir | Middle) $\square$ Officer $\square$ Partner $\square$ Director/ Manager | Stockholder/ Member | Title |  |  |
| Residential Address |  |  |  | State | Zip Code (9 digits) |
| Social Security Number | Issuing State and Driver's License Number | Date of Birth | 7/dd/yyyy) |  | \& No. Shares Held Memberships |

Full Legal Name (Last, First Middle) $\qquad$ Officer $\qquad$ Partner $\square$ $\square$ Director/

| Date of Birth (mm/dd/yyyy) |  |
| :--- | :--- |

Class \& No. Shares Held or \% Memberships or \% Interest

| Social Security Number |  |
| :---: | :--- |
| - | Issuing State and Driver's License Number |

## Resi

| Residential Address |  |  | City |
| :---: | :---: | :---: | :---: |
| Social Security Number | Issuing State and Driver's License Number | Date of Birth | ר/dd/yyyy) |
| Full Legal Name (Last, First Middle)$\square$ Officer $\square$ Partner $\square$ Director/ $\square$ Stockholder/ <br> Manager |  |  | Title |


| Residential Address | City |  |
| :---: | :---: | :---: |


| Social Security Number | Issuing State and Driver's License Number | Date of Birth (mm/dd/yyyy) |  |
| :---: | :---: | :---: | :---: |
|  |  |  | Title |


| Residential Address | City |
| :---: | :---: |


| Social Security Number <br> - <br> - | Issuing State and Driver's License Number | Date of Birth (mm/dd/yyyy) <br> $/$ |
| :--- | :--- | :--- | :--- |
| Full Legal Name (Last, First Middle) $\square$ Officer $\square$ Partner $\square$ Director/ $\square$ Stockholder/ | Title |  |


| Residential Address |  |  | City | State | Zip Code (9 digits) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Social Security Number | Issuing State and Driver's License Number | Date of Birth | (mm/dd/yyyy) <br> / | Class \& No. Shares Held or \% Memberships or \% Interest |  |
|  |  |  | Title |  |  |
| Residential Address |  |  | City | State | Zip Code (9 digits) |

(IF YOU NEED ADDITIONAL SPACE FOR MORE NAMES, USE ADDITIONAL COPIES OF THIS PAGE)

## FOR NONRESIDENT SELLER'S PERMIT

NOTE: Section 37.10(a) and (b) provides: " No holder of a nonresident seller's permit may solicit, accept, or fill an order for distilled spirits or wine from a holder of any type of wholesaler's permit unless the nonresident seller is the primary source for the brand of distilled spirits or wine that is ordered. In this section, "primary American source of supply" means the distiller, the producer, the owner of the commodity at the time it becomes a marketable product, the bottler, or the exclusive agent of any of those. To be the "primary American source of supply" the nonresident seller must be the first source, that is the manufacturer or the source closest to the manufacturer, in the channel of commerce from whom the product can be secured by American wholesalers."
10. Is the applicant "the primary American source of supply" for any brands of distilled spirits or wine within the meaning of Section 37.10(a) and (b) of the Texas Alcoholic Beverage Code? 10.YES NO $\square$ If "YES," specify the manufacturer and brands of distilled spirits and/or wine. (If more space is needed, attach a page.)

## ALL APPLICANTS

9. The applicant or permit and license holder may have an interest, directly or indirectly in only one level of the alcoholic beverage industry; i.e. manufacture, wholesale or retail. You or your agent, servant or employee may not:
10. be employed in any capacity at different levels,
11. rent or lease property or equipment from or to an entity operating at another level,
12. secure credit or a loan in any form for an entity at another level,
13. control in any fashion the interests of a permittee or licensee at a different level.
A. Are you or anyone indicated in questions 7 on L-103 or 2 on L-106-PC in violation of the above requirements?
If "YES," explain below: (If more space is needed, attach a page.)YES NO $\square$
$\qquad$
$\qquad$促


## ALL APPLICANTS

$\qquad$
$\qquad$

## FOR NONRESIDENT MANUFACTURER'S LICENSE

## NOTE: IF APPLYING FOR A NONRESIDENT BREWER'S PERMIT AND/OR NONRESIDENT MANUFACTURER'S LICENSE, EACH LOCATION WHERE PRODUCT IS MANUFACTURED AND SHIPPED INTO THIS STATE MUST APPLY FOR AND OBTAIN A PERMIT OR LICENSE.

11A. Will the applicant actually engage in the business of brewing and packaging beer within a three year period covered by its original license and two successive renewals thereof in such quantities as will make its operation that of a bona fide brewing manufacturer?
11A.YES NO
B. Is applicant the actual manufacturer of the beer to be imported into the State of Texas?
C. Is location in question 4 the actual manufacturing location of the product being shipped into Texas?
If answer is "NO," application cannot be approved.
D. Will the applicant transport beer into Texas in vehicles owned or leased by applicant? If answer is "YES," refer to \#5 of the instructions shown on page 4 for necessary bond requirement.
B.YES NO
C.YES NO
D.YES NO $\square$

## FOR NONRESIDENT BREWER'S PERMIT

[^0]12.YES NO

Trade Name:

## ACKNOWLEDGMENT

WARNING: Section 101.69 of the Texas Alcoholic Beverage Code is as follows: "...a person who makes a false statement or false representation in an application for a permit or license or in a statement, report, or other instrument to be filed with the Commission and required to be sworn commits an offense punishable by imprisonment in the penitentiary for not less than 2 nor more than 10 years."

| If Applicant is: | Who Must Sign: |
| ---: | :--- |
| Individual | Individual Owner |
| Partnership | Partner |
| Corporation | Officer |
| Limited Partnership | General Partner |
| Limited Liability <br> Partnership | General Partner |
| Limited Liability <br> Company | Officer or Manager |

(S E A L)

## ACKNOWLEDGMENT

$\qquad$
NOTARY PUBLIC

## INSTRUCTIONS

1. Beginning September 1, 2009 the license covered under this form will be issued for a two-year period. You MUST renew for the entire two-year period. The total amount of fees plus surcharges to cover the two-year period MUST be paid at the time of renewal. Fees may NOT be prorated or refunded.
2. Prepare the application in duplicate. Mail the original application to the Texas Alcoholic Beverage Commission, P.O. Box 13127, Austin, Texas 78711 with the correct license/permit fees, surcharges and bond (if required). Keep duplicate for your files. Fees and surcharges must be paid with Cashier's Check, Money Order, or Firm Check from a corporate permittee made payable to the Comptroller of Public Accounts. We will not accept personal checks.

| Class of Permit or License | Annual State Fee | *Surcharge <br> Effective 9/01/11 | Total Due |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Nonresident Seller's Permit | $\$ 300.00$ | $\$ 376.00$ | $\$ 676.00$ |  |  |
| Nonresident Brewer's Permit | $\$ 3,000.00$ | $\$ 376.00$ | $\$ 3,376.00$ |  |  |
| Nonresident Manufacturer's License | $\$ 1,500.00$ | $\$ 576.00$ | $\$ 2,076.00$ |  |  |
|  |  |  |  |  |  |

3. *Surcharges are subject to annual change. The surcharges assessed each year are determined by the Texas Alcoholic Beverage Commission and not by the Legislature. The Legislature determines the total dollar amount to be raised in agency revenue. The revenue is comprised of fees, which are set by the Legislature, and the surcharges which are determined by the agency.
4. A Power of Attorney designating Service Agent on Form L-20.2 must be mailed directly to the Secretary of State of Texas. Only a Texas resident, 18 years or older, may be appointed as Service Agent. The power of attorney designating Service Agent should indicate the individual named in question 8 on L-103.
5. Any Nonresident Manufacturer desiring to transport beer into this state in vehicles owned or leased by the Nonresident Manufacturer will be required to furnish a tax bond on Form $2-52.5$ in the amount of $\$ 10,000.00$. The tax bond must be submitted prior to transporting the first shipment of beer. The bond must be executed by a Surety Company authorized to do business in Texas.
6. If applicant is a partnership, $100 \%$ of the interest in the partnership must be accounted for among the partners listed. If one or more of your general or limited partners is a limited partnership or limited liability partnership, complete an additional Form L-106-PC for each partnership. If one or more of your general or limited partners is a corporation, complete Form L-106-PC for each corporation or limited liability company.
7. If applicant is a publicly held corporation, provide pertinent information as indicated in 2 on L-106-PC on all stockholders holding $5 \%$ or more of the shares.

THE FOLLOWING INFORMATION IS FOR TABC HEADQUARTERS USE ONLY. DO NOT ENTER ANYTHING BELOW THIS BOX

| NAME |
| ---: |
| ADDRESS |
| CITY, STATE, ZIP |
| NUMBER |
| TYPE |
| AMOUNT |


[^0]:    12. Is location in question 4 the actual manufacturing location of the product being shipped into Texas?
    If answer is "NO," application cannot be approved.
