

# FSIS FORM 5200-2, APPLICATION FOR FEDERAL INSPECTION

(Meat, Poultry, Siluriformes Fish, Egg Products, and Import )

### **INSTRUCTIONS FOR COMPLETION OF FSIS FORM 5200-2**

Complete all sections. Enter N/A if a section is not applicable. Use continuation sheet if needed on Page 7 and number the item. To submit electronically, access the FSIS Form 5200-2, Application for Federal Inspection, on the <u>FSIS Forms</u> page. Complete the electronically-fillable form and save the form on your hard drive. Print the form and sign it. Scan the form and e-mail the completed form to the Grant Curator in the appropriate <u>District Office</u>. For paper copies, send the signed application form to the Grant Curator at the District Office mailing address.

#### SECTION I. APPLICANT INFORMATION - (Page Three)

- 1. Date of Application: Put current date application is completed.
- 1a. Existing Establishment Number, if applicable.
- 2. Type of Application (check all that apply).
- 3. Type of Inspection (check all that apply).
- 4. Form of Organization (check applicable box).
- 5. If Corporation, Name of State where Incorporated.
- 6. Date Incorporated: Show month, date, and year, e.g., mm/dd/yyyy.
- 7. Name and Address of Corporate Headquarters.
- 8. Federal Employer ID #.
- 9. Dun & Bradstreet #, if applicable.
- 10. Firm's Code (Import Only).
- 11. Name of Applicant (person, firm, or corporation making application) and mailing address.
- 12. Telephone number and e-mail address of applicant.
- 13. Actual Name of Company and Physical Location Address of Establishment.
- 14. Telephone number and e-mail address of establishment.

### SECTION II. ESTABLISHMENT INFORMATION - (Page Three)

- 15. Establishment Limits: Provide a diagram, schematic or written narrative of the establishment premises that is requested to be under Federal inspection
- 16. Name and establishment number of other official establishments located in the same facility, if applicable.
- 17. Other names Doing Business As (DBA). Use continuation sheet if necessary.
- 18. Month and year when establishment will be ready to operate under inspection.

### SECTION III. TYPE OF OPERATIONS - Meat, Poultry, Siluriformes Fish, Egg Products, and Import Inspection - (Page Four)

- 19A. Check all applicable boxes of animals to be slaughtered at the establishment.
- 19B. Check all applicable boxes for the types of products intended for processing operations at the establishment.
- 19C. Check all applicable boxes to indicate the type of exempt activities and provide an attachment to explain how the activities will be separated by time or by space.
- 19D. Check all applicable boxes under JURISDICTION
- 20. Check all applicable boxes (EGG PRODUCTS INSPECTION ONLY)
- 21A. Check all applicable boxes for Species (IMPORT INSPECTION ONLY)
- 21B. Check all applicable boxes for Mode of Transportation (IMPORT INSPECTION ONLY)
- 21C. and 21D. Check all applicable boxes Types of Products (IMPORT INSPECTION ONLY)

### SECTION IV. PERSONS RESPONSIBLY CONNECTED WITH APPLICANT - (Pages Five and Six)

- 22. The applicant must provide a list of persons responsibly connected with the establishment. Include all partners, officers, directors, holders, or owners if 10% or more of its voting stock or employees in a managerial or executive capacity. Check the appropriate box for 10% or more voting stock. Use continuation sheet or provide an attachment, if necessary.
- 23. Self-explanatory. If none, check the None box. If yes, check the Yes box and explain.
- 24. Self-explanatory. If none, check the None box. If yes, check the Yes box and explain.
- 25. Have conditions for receiving inspection been met (SSOP, Recall Procedures, HACCP) in accordance with 9 CFR 304.3 and 381.22? Check all applicable boxes.
- 26. Privacy Act Notice. Check appropriate box.

### PLEASE READ AGREEMENT, CERTIFICATION, AND WARNING STATEMENT

- 27. Typed or written name and title of person signing application. (Must be listed in Block 22).
- 28. Signature: By signing your name in this block you are stating that the information provided is accurate and binding.

# UNITED STATES DEPARTMENT OF AGRICULTURE (USDA) FOOD SAFETY AND INSPECTION SERVICE (FSIS) OFFICE OF FIELD OPERATIONS (OFO)

# PRIVACY ACT NOTICE

The Privacy Act of 1974 (5 U.S.C. 522A) requires that certain information be given to you when you are requested to furnish personal information to a Government Agency. The required information is provided in this Notice. The act does not apply, however, to business information about your firm.

# **AUTHORITY FOR REQUESTING INFORMATION**

Authority for requesting both personal and business information is contained in the Federal Meat Inspection Act (21 U.S.C.601 et seq.) and the Poultry Products Inspection Act (21 U.S.C. 451 et seq.). Under these Acts, the Secretary of Agriculture is authorized to determine the fitness of applicants for or recipients of inspection service to engage in business requiring inspection. Your disclosure of personal information to aid in this determination is mandatory. The Acts also require full and complete disclosure of records and information showing the transactions of your business.

# PURPOSE FOR WHICH THE INFORMATION WILL BE USED

This information is being requested to establish and record your identity as a responsible official of the business and to determine your fitness to receive a Grant of Inspection.

# ROUTINE USES WHICH MAY BE MADE OF THE INFORMATION

In appropriate situations, a report containing the information you furnish may be referred to other federal, state, local or foreign agencies charged with law enforcement or the investigation or prosecution of law violations.

# EFFECTS OF FAILURE TO FURNISH INFORMATION

Failure to provide requested information may delay or interfere with your receiving inspection service and may result in civil penalties of \$100.00 per day against you or your business, as prescribed by (15 U.S.C. 50). In addition, persons making false, fictitious, or fraudulent statements or entries are subject to a \$10,000.00 fine or imprisonment for not more than 5 years or both, as prescribed by (18 U.S.C 1001).

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0583-0153. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

U.S. DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE

### **APPLICATION FOR FEDERAL INSPECTION**

(Meat, Poultry, Siluriformes Fish, Egg Products and Import Inspection)

Submit this application electronically, or by mail, to the Grant Curator at the appropriate U.S. Department of Agriculture, Food Safety and Inspection Service, <u>District Office</u>. Complete all sections. If a section is not applicable, enter N/A or None. If additional space is needed for any items, use the continuation sheet provided or an attachment. Number the item.

SECTION I. APPLICANT INFORMATION				
Date of Application	1a. Existing Establishment Number (if applicable)			
Type of Application (check all that apply)      New	Other, specify:			
3. Type of Inspection (check all that apply)  Meat Poultry Egg Products	Import Siluriformes Fish			
4. Form of Organization (check applicable box)				
Individual Cooperative Association Partnership Corporation	Company (LLC)			
5. If Corporation, Name of State or Territory where Incorporated  6. Date Incorporated mm/ dd/ yyyy	7. Name and Address of Corporate Headquarters  Name			
8 Federal Employer ID# 9. Dun & Bradstreet # (if applicable)	Address			
	City			
10. Firm's Code (Import Only)	State Zip Country			
11. Name of Applicant (person, firm or corporation making application) and maili	ng 12. Telephone number and e-mail address of applicant			
address Name	phone			
Address	e-mail			
City				
State Zip Country Country				
13. Actual Name of Company and Physical Location Address of Establishment	14. Telephone number, mailing address and e-mail address of establishment			
Name	phone			
Address	mailing address			
City				
State Zip Country Country	e-mail			
SECTION II. ESTABLISHMENT INFORMATION				
15. Establishment Limits: Provide a diagram, schematic or written narrative of th continuation sheet or attachment if necessary. (For egg product plants, submit b				
on continuation sheet attached document	by other means (mail, courier) previously submitted			
16. Name and establishment number of other official establishments located in the same facility (if applicable)	ne 17. Other names - Doing Business As (DBA) - Use continuation sheet if necessary			
18. Estimated date when the establishment will be ready to operate under inspection (mm/ dd/ yyyy)				

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JURISDICTION (explain aration from inspected ducts on continuation sheet)
FSIS Inspection only
State Inspection
Talmadge-Aiken
Itiple Agencies
N/A
Dual Jurisdiction Establishment with Food and Drug Administration (FDA)
USDA Agricultural Marketing Service (AMS) Grading/Quality Control
Establishment provides products for the National School Lunch Program
ed d. Egg Breaking
ct (including bone-in oneless meats) less and/or skinless parts
•
Intact

19. MEAT, POULTRY, AND SILURIFORMES FISH INSPECTION ACTIVITIES (check all that apply) 19A. SLAUGHTER 19B. PROCESSING 19D. 19C. EXEMPTIONS (explain separation **OPERATIONS OPERATIONS** sep from inspected products on continuation proc sheet) Calf **Custom Processing** a. Fully Cooked - Not Shelf Stable Heat Treated Not Fully Cooked -Custom Slaughter Cattle b. Not Shelf Stable Retail Activities Equine C. Heat Treated - Shelf Stable Goat Not Heat Treated - Shelf Stable d. Religious Exempt Poultry Mul Sheep e. Product with Secondary Inhibitors - Not Shelf Stable Swine **Buddhist eviscerated Poultry** Raw - Intact Products Chicken Confucian Non-eviscerated g. Raw - Non Intact Products Duck Islamic (Halal) Poultry Thermally Processed Goose h. Commercially Sterile Kosher Non-eviscerated Poultry Guinea Ratite Religious Exempt Livestock Squab Halal Turkey Kosher Siluriformes Fish Other (specify on continuation sheet) 20. EGG PRODUCTS INSPECTION (check all that apply) a. Heat Treated But Not Fully Cooked c. Not Heat Treated - unpasteuriz b. Heat Treated - Shelf Stable not shelf stable (liquid and frozen (dried egg\_product, 50% Sugar egg product only egg product) Yolk) 21. IMPORT INSPECTION (check all that apply) 21A. Species 21C. Types of Products 21D. Types of Products (meat and poultry only) (egg products) Meat Raw - Intac Fully Cooked - Not Shelf Stable Poultry Egg /Egg Products Cuts ( Frozen from an APHIS restricted Egg Products Shell Eggs and b country 9 CFR 94.4 (b) Bonel Frozen Liquid Eggs Siluriformes Fish Other Perishable Frozen Eggs Carcasses Heat Treated - Not Fully Cooked -**Dried Eggs** Not Shelf Stable Beef 21B. Mode of Transportation Heat Treated - Shelf Stable Goat Rail Cars Not Heat Treated - Shelf Stable Mutto Ocean Vessel Product with Secondary Inhibitors -Not Shelf Stable Ratite Poultry Trucks Raw - Non-Intact Veal Veal-hide on Airline Ground product Thermally Processed/Commercially Other. Sterile Other Non-intact specify: Corned (species) Soups Other Ham

**SECTION III. TYPE OF OPERATIONS** 

22. The applicant must provide a list of persons responsibly connected with the establishment. Include all partners, officers, directors, holders, or owners of 10 percent or more of voting stock or employees in a managerial or executive capacity. Check the appropriate box for 10% or more voting stock. Use continuation sheet or provide an attachment if necessary.

Name and Title		Present e- mail and home address	Holder of 10% or more voting stock? (if corporation)
First	e-mail		yes
Last	Address		
			no
	City		
Title	State	Zip Code Country	
First	e-mail		yes
Last	Address		no
	City		
Title		Zip	
Title	State	Code Country	
First	e-mail		yes
Last	Address		no
	City		
Title		Zip	
Title	State	Code Country	
First	e-mail		yes
Last	Address		no
	City		
		Zin Tin	
Title	State	Zip Code Country	
First	e-mail		yes
Last	Address		no
	City		
Title	State	Zip Code Country	
First	e-mail		yes
Last	Address		no
	City		
Title		Zip	
TIME	State	Zip Code Country	

District Manager

